

Docket No.: 1016-013

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Lup San Leong  
 Serial No.: 09/848,997  
 Filed: May 4, 2001  
 For: THERMAL MECHANICAL  
 PLANARIZATION IN INTEGRATED  
 CIRCUITS

Confirmation No.: 8978  
 Examiner: Maria F. Guerrero  
 Group Art Unit: 2822

TRANSMITTAL FOR ENCLOSURES (check all that apply)							
<input checked="" type="checkbox"/> Response / Amendment <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement, PTO Form-1449, & cited Reference(s) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):					
		• Certificate of Transmission • Fax Cover Sheet					
		Remarks					

The fee, if required, has been calculated as shown below:

	NO. OF CLAIMS	HIGHEST PREVIOUSLY PAID FOR	EXTRA CLAIMS	RATE	FEE
Total Claims	12	20	0	x \$18 =	\$ 0.00
Independent Claims	2	3	0	x \$86 =	\$ 0.00
If multiple claims newly presented, add \$290					
Fee for extension of time					
Other:					
TOTAL FEE					\$0.00

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Respectfully submitted,

Mikio Ishimaru  
 Registration No. 27,449  
 Date: October 20, 2003

PTO/SB/97 (05-03)

Approved for use through 4/30/2003. OMB 0651-0031

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With reference to serial number 09/848,997, the following are being submitted:

Fax Cover Sheet  
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Transmittal  
Response/Amendment

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## The Law Offices of Mikio Ishimaru

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**Fax**

To: Mail Stop Non-Fee  
Amendment

From: Mikio Ishimaru

Examiner Maria F. Guerrero

Fax: (703) 872-9306 Pages: 12, including this page  
TC 2800 - Before Final

Phone: 703-305-0162 Date: October 20, 2003

Re: U.S. Patent Application Serial CC:  
No. 09/848,997

Response/Amendment to Office Action  Information  Other

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Dear Examiner Guerrero:

Attached are a Certificate of Transmission, Transmittal, and Response/Amendment to the Office Action dated September 12, 2003, for U.S. Patent Application Serial No. 09/848,997 (attorney docket no. 1016-013 ).

Respectfully submitted,



Mikio Ishimaru  
Reg. No. 27,449